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TELEFAX CONTROL SHEET

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APR 2 6 2004

DATE SENT:

April 26, 2004

Examiner Nichols

SUBJECT:

Appln No. 09/441,140 SOLOMON=14

No. of pages (including this cover sheet):

FROM:

Roger L. Browdy

Remarks:

## CONFIDENTIALITY NOTE

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IN THE UNITED	STATES	PATENT	AND	TRADEMARK	OFFICE

In Re Application of: Baka SOLOMON

Art Unit: 1642

Application No.: 09/441,140

Examiner: S. Ungar

Filed: November 16, 1999

Washington, D.C.

For: PREVENTION OF PROTEIN AGGREGATION

Atty.'s Docket: SOLOMON=14

OR

OR

Date: February 23, 2004

THE COMMISSIONER OF PATENTS 2011 South Clark Place, Mail Stop Crystal Plaza Two, Lobby, Room 1803 Arlington, VA 22202

Sir

Transmitted herewith is a [X] Amendment [X 1<sup>st</sup> Declaration by Baka Solomon with 7 attached articles; 2<sup>rd</sup> Declaration by Baka Solomon; 6 additional articles; and Original Letters Patent of USP 5,688,651

in the above-identified application.

- [ ] Small Entity Status: Applicant(s) claim small entity status. See 37 C.F.R. §1.27.
- [ ] No additional fee is required.
- [XX] The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA EQUALS		
TOTAL	- 29	MINUS	** 99	0		
INDEP.	- 5	MINUS	<b>***</b> 28	0		
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						
				A	DDITK	

ADDITIONAL FEE
s
\$
\$
\$

	OTHER THAN SMALL ENTITY							
		RATE	ADDITIONAL FEE					
	X	18	\$					
ļ	х	86	\$					
	+	290	s	290				
		TOTAL	\$	290				

- If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.

Conf. No. 3910

\*\*\* If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

[XX] Conditional Petition for Extension of Time

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[ ] It is hereby petitioned for an extension of time in accordance with 37 CFR 1.138(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

	Small Entity			Outer then small childy					
	Response Filed Within	Response Filed Within							
	[ ] First - \$ 55.00		]	1	First	-	\$	110.00	
	1 Second - \$ 210.00		E	1	Second	-	\$	420.00	
	( ) Third - \$ 475.00		[	}	Third	-	\$	950.00	
	[ ] Fourth - \$ 740.00		Ī	]	Fourth	-	\$	1480.00	
	Month After Time Period Set		Month After Time Period Set			Set			
	[ ] Less foos (\$) already paid for month(s) exter	ision of time on _	_						
[ ]	Please charge my Deposit Account No. 02-4035 in the amount of								
pxq	Credit Card Payment Form, PTO-2038, is attached, authorizing p	ooyment in the ar	πc	ount c	f \$ <u>290.00</u> .				
[ ]	A check in the amount of \$ is attached (check in	no. ).							
	the standard and requested to chame	any additional fo	96	is whi	ch may be	nec)	υine	d in cont	

The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4036. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR §1.18.

BROWDY AND NEIMARK, P.L.L.C.

Attomeys for Applicant(s)

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Roger L. Browdy Registration No. 25,618

PAGE 2/22 \* RCVD AT 4/26/2004 5:14:09 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):05-54

Reg. No. 37.971

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 2 6 2004

In re Application of:

Beka SOLOMON

Appln. No.: 09/441,140

Filed: November 16, 1999

For: PREVENTION OF PROTEIN

AGGREGATION

Conf. No.: 3910

Art Unit: 1647

Atty. Docket:

Examiner: C. Nichols

SOLOMON=1R

Washington, D.C.

February 23, 2004

OFFICIAL

## **AMENDMENT**

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Amendment Non-Fee Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202

Sir:

In response to the Official action of August 22, 2003, please amend as follows:

## IN THE SPECIFICATION

please amend the paragraph beginning at column 7, line 1, as follows:

In the present invention, the expression vector for use as a therapeutic agent comprises a nucleic acid including at least one sequence which encodes in expressible form an anti-aggregation molecule, which molecule binds to an aggregating protein that is the cause of a disease and which prevents aggregation but does not interfere with bioactivity. In a preferred embodiment the expression vector includes the sequence for a human monoclonal antibody that is an anti- $\beta$ -